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|  | **PROJECT SCREENING FORM** | NAB Project № *[completed by NAB]* |
| GIP code/Project №  *[obtain from DSPPAC]* |
| Cost Centre/Activity №  *[obtain from Dept.]* |
| Donor/DSPPAC file № *[obtain from DSPPAC]* |

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| Project title |  | | |
| Criteria | **Guiding questions** | **Link to Profile Form** | **Proceed/Comments** |
| 1. Project rationale | * 1. Does the project have a satisfactory rationale?   2. Has the primary need for the project been identified and justified?   3. Is there sufficient evidence to support this, e.g. survey, report? | 2. Project description  19. Project rationale | Yes  No |
| 1. Project objective against the baseline | * 1. Has the objective of the project been clearly defined?   2. For climate change projects, has an emissions/vulnerability baseline scenario been identified?   3. Is the project likely to improve the baseline scenario? | 20. Project objective against the baseline  34. Gender and social inclusion considerations | Yes  No |
| 1. Policy coherence and alignment (national priorities, country ownership, national support) | * 1. Does the project align with, advance, and/or directly link activities to national priorities, strategies, and/or policy objectives, i.e. National Sustainable Development Plan, Climate Change and Disaster Risk Reduction policy, and/or other policies, plans and strategies e.g. sectoral plans, corporate plans or sub-national plans?   2. Is it consistent with the NDC, a NAMA or the NAP? Does the project assist with meeting targets for mitigation and/or adaptation?   3. Is national support sufficiently evidenced, e.g. letter of support from national counterpart(s) (i.e. line ministry and department, provincial counterpart, and/or other community-based institutions)? | 21. Policy coherence and alignment | Yes  No |
| 1. Implementing/ executing entity background/ justification | * 1. Do the implementing and executing entities have sufficient/suitable capacity and technical expertise in relation to the project scope and its components?   2. Do they have track record / previous experience / credibility in similar work? Have they sufficiently justified this?   3. Do they have sufficient knowledge, skills and staffing to implement the project? | 24. Implementing / executing entity background / justification | Yes  No |
| 1. Risk management strategies | * 1. Have the risks and methods to address these been identified and justified? e.g. financial, technical and operational, environmental and social, political, and other risks etc. See the project’s risk assessment | 45. Project risk factors, mitigation measures, and assessment tool | Yes  No |
| 1. Consultation and multi-stakeholder engagement | * 1. Has the project undertaken sufficient consultation by taking into consideration and involving all relevant stakeholders (including donors, private sector, provinces, local communities, and civil society)? | 28. Consultation | Yes  No |
| 1. Potential overlaps / duplication, to be resolved (and suitability of location) | * 1. Is the project duplicating existing work?   2. Is it proposed that the project be implemented in an area that has already been supported by another project with same/similar activities? | 2. Project description  11. Location  13. Theme  15. Sector by ministry  18. Project type | Yes  No |
| 1. Technical feasibility / evaluation | * 1. Is the project considered to be technically feasible? Are the inputs (e.g. labour, materials, transport etc.) to the project activities likely to produce the project outputs?   2. If the project involves a technological solution, has it been justified why it is most appropriate for the project? | 30. Technical feasibility / evaluation  45. Project risk factors, mitigation measures, and assessment tool | Yes  No |
| 1. Economic and financial viability | * 1. Is the project considered to be economically and financially feasible? Is there sufficient funding for all of the project inputs / components and activities?   2. Has an economic and/or financial analysis been undertaken | 43. Project budget summary  44. Project component costs | Yes  No |
| 1. Environmental and social considerations | * 1. Have potential environmental risks been considered and will there be sufficient environmental safeguards?   2. Have potential social risks been considered and will there be sufficient social safeguards? | 33. Environmental and social considerations  45. Project risk factors, mitigation measures, and assessment tool | Yes  No |
| 1. Gender and social inclusion considerations | * 1. Has gender, disability, indigenous concerns, women, youth, children and vulnerable groups been adequately considered in the project? | 17. Number of people impacted/affected  34. Gender and social inclusion considerations | Yes  No |
| 1. Monitoring, reporting and evaluation | * 1. Is the monitoring, reporting and evaluation process sufficiently outlined?   2. Is there are a satisfactory logical framework/theory of change? | 35. Monitoring, reporting and evaluation  42. Logical framework | Yes  No |
| 1. Sustainability measures | * 1. Is there an exit strategy and evidence that the project activities can be maintained after project funding?   2. Have the maintenance and cost implications for the Government been sufficiently outlined? | 36. Sustainability measures | Yes  No |
| 1. Impact Potential *[for GCF projects only]* | * 1. Mitigation: Does the project contribute to a shift to low-emission sustainable development pathways e.g. CO2e reduced due to the project, and/or cost effectiveness, i.e. total project cost compared to CO2e reduced   2. Adaptation: contribution to increased climate-resilient sustainable development, e.g. number of direct/indirect beneficiaries, and/or beneficiaries relative to total population | 27. Expected performance against the investment criteria | Yes  No |
| 1. Paradigm shift potential *[for GCF projects only]* | * 1. Does the project have the potential to catalyse impact in the long term, and beyond the scope of the proposed project (potential for scaling-up and replication of the project, knowledge and learning, contribution to regulatory frameworks, policies and public planning, mobilisation of other actors, market development and transformation)? | 27. Expected performance against the investment criteria | Yes  No |
| 1. Sustainable development potential *[for GCF projects only]* | * 1. Does the project have substantial context specific economic, social, environmental and gender-sensitive development impact co-benefits? (examples include improved public health, improved energy security and improved forest ecosystem health) | 27. Expected performance against the investment criteria | Yes  No |
| 1. Needs of the recipient *[for GCF projects only]* | * 1. Does the project reduce the level of exposure to climate risks and the degree of vulnerability, and address the needs and vulnerability of the beneficiary population or sector? Has a vulnerability assessment been conducted? | 27. Expected performance against the investment criteria | Yes  No |
| 1. Country ownership *[for GCF projects only]* | * 1. Is there evidence of country ownership, coherence with existing policies, capacity to implement the project and engagement with relevant stakeholders? | 27. Expected performance against the investment criteria | Yes  No |
| 1. Efficiency and effectiveness *[for GCF projects only]* | * 1. Is the project economically and financially sound, taking into consideration the total funding requested, financing already or expected to be secured, any potential revenues and in-kind contributions? | 27. Expected performance against the investment criteria | Yes  No |
| 1. Status of Allocation *[for GCF Readiness Support only, see next page*] | * 1. What is the status of the Vanuatu country allocation? Are there sufficient funds under the Readiness Allocation for the year which the project is seeking implementation? Refer to GCF Allocation Track Sheet | 27. Expected performance against the investment criteria | Yes  No |
| 1. Conclusion / comments |  |  | |
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| 1. Project Screening Committee Member | **Recommended**  **Name** | **Not recommended**  **Signature** | |
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**History of the document**

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| **Version** | **Date** | **Nature of revision** |
| 1.0 | NAB Meeting 9 February 2018 | Initial endorsement |

## **Funding windows under the Green Climate Fund**

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| 1. | Readiness support |
| Purpose | Funding programme to enhance country ownership and access to the GCF to strengthen the institutional capacities of:   * NDAs or focal points * direct access entities   to efficiently engage with the GCF |
| Resource type | Grants / Technical assistance |
| Country allocation | **1,000,000 USD / year / country**   * incl. USD 300,000 per year to help establish or strengthen a NDA or focal point to deliver on the Fund’s requirements   **3,000,000 USD / country**   * for the formulation of national adaptation plans and/or other adaptation planning processes |
| Areas of support | 1. Establishing and strengthening national designated authorities or focal points (Should be in line with the [CPEIR](https://www.climatefinance-developmenteffectiveness.org/sites/default/files/documents/09_06_16/Vanuatu%20CPEIR.pdf) and the [RGA](http://www.nab.vu/sites/default/files/nab/documents/03/04/2014%20-%2012%3A42/final_rga_report_26_february_14.pdf)) 2. Strategic frameworks, including the preparation of country programmes 3. Support for accreditation and accredited direct access entities 4. Formulation of national adaptation plans and/ or other adaptation planning process |
| NDA role | **Only to be submitted by the NDA** |
| 2. | **Project preparatory facility** |
| Purpose | Support project and programme preparation requests from all accredited entities, especially direct access entities and micro-to-small size category projects |
| Resource type | Grants / Repayable grants |
| Country allocation | **1,500,000 USD / request** |
| Areas of support | 1. Pre-feasibility and feasibility studies, as well as project design 2. Environmental, social and gender studies 3. Risk assessments 4. Identification of programme/project-level indicators 5. Pre-contract services, including the revision of tender documents 6. Advisory services and/or other services to financially structure a proposed activity 7. Other project preparation activities, where necessary, provided that sufficient justification is available |
| NDA role | Submitted by the Accredited Entity **but** NDA to follow closely and support |
| 3. | **Pipeline project** |
| Purpose | To seek GCF funding |
| Resource type | Grants / repayable grants / loans / equity / other financial instruments |
| Country Allocation | **No cap** |
| Areas of support | **8 Strategic results areas:**  **Mitigation**   * Energy generation and Access * Transport * Buildings, cities, industries, and appliances * Forests and land use   **Adaptation**   * Health, food and water security * Livelihoods of people and communities * Infrastructure and built environment * Ecosystems and ecosystem services   **GCF Investment criteria:**   * Impact potential: contribute to the results areas * Paradigm shift potential: catalyse impact beyond one-off project * Sustainable development potential: environmental, social, economic co-benefits, gender sensitive development impact * Needs of recipient: vulnerability and financing needs of the beneficiary country and population in the targeted group * Country ownership: beneficiary country ownership of and capacity to implement a funded project or programme * Efficiency & effectiveness: economic, financial soundness of the programme / project and cost-effectiveness and co-financing |
| NDA role | **No Objection Letter required** |